

Course Title: **Investment and Portfolio Analysis**

Course Code: **FINA602**

Descriptor Start Date: **01/01/2022**

POINTS: **15.00**

LEVEL: **6**

PREREQUISITE/S: **FINA501**

COREQUISITE/S: **None**

RESTRICTION/S: **396003**

## LEARNING HOURS

Hours may include lectures, tutorials, online forums, laboratories. Refer to your timetable and course information in Canvas for detailed information.

**Total learning hours: 150**

## PRESCRIPTOR

An introduction to the theory and practice of investments, with special emphasis on investment criteria, sources of information and types of financial investments. Develops an understanding of the organization and function of securities market, investment alternatives, and valuation of securities. Explores sources of investment information, introduces the major approaches to investment selection, analyses the relationship of risk to required rates of returns, portfolio construction and monitoring.

## LEARNING OUTCOMES

1. Value financial assets such as stocks and bonds, measure the riskiness of a stock or portfolio, and adjust the value of an asset to take into account the riskiness of the asset.
2. Analyse optimally diversified portfolios and effectively manage investment portfolios.
3. Illustrate various asset pricing models.
4. Allocate investments into stock and bond portfolios in accordance with a person's risk preferences.
5. Critically evaluate investment advice from brokerage firms and the financial press.
6. Identify ethical issues and apply ethical principles to trading and investment advice.

**Disclaimer: Course descriptors may be amended between teaching periods/semesters**

## CONTENT

Financial Markets & Instruments;  
Investors & the Investment Process;  
Risk & Return;  
The Efficient Markets Hypothesis and Anomalies;  
Capital Asset Pricing and Arbitrage Pricing Theory;  
Equity and Bond Valuation Techniques;  
Managing Fixed Income Investments;  
Portfolio Performance Evaluation;  
Code of Ethics and Standards of Professional Conduct.

## LEARNING & TEACHING STRATEGIES

An appropriate range of strategies that may include lectures, workshops & online learning.

## ASSESSMENT PLAN

Assessment Event	Weighting %	Learning Outcomes
Quiz (individual)	15.00	1,2,3,4,
Report (Individual)	10.00	2,3,4,5,
Report (Group)	30.00	1,2,3,4,5,6,
Final Exam (Individual)	45.00	1,2,3,4,5,6,

### Grade Map

#### MAP1

A+ A A- Pass with Distinction  
B+ B B- Pass with Merit  
C+ C C- Pass  
D Fail

### Overall requirement/s to pass the course:

## LEARNING RESOURCES

Details of all learning resources (including journals, databases, websites etc) are available on Canvas. Required Text(s): If there is a required text, details are available by searching the University Bookshop's website: [www.ubs.co.nz](http://www.ubs.co.nz)

**For further information, contact:** Te Ara Pakihi, Te Ohanga Me Te Ture - Faculty of Business, Economics and Law

**Principal Programme:** AK3693, Bachelor of Business

**Related Programme/s:** AK1006 DipBus, AK1007 CertBusSt, AK1315 BBus/BCS, AK3001 BBus/BSc, AK3002 BA/Bus, AK3003 BBus/BCIS, AK3004 BBus/BIHM, AK3726 BBus/BHSc, AK3709 GradDipBus, AK3753 GradCertBus

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